

NEKTAR™

150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070-6256
650-631-3100 • 650-620-6395 FAX

FACSIMILE TRANSMITTAL SHEET

TO: EXAMINER KISHORE, GOLLAMUDI S. FROM: GUY V. TUCKER

COMPANY: U.S. Patent & Trademark Office PHONE NUMBER: 650-631-3100
GROUP ART UNIT 1615

FAX NUMBER: 1-571-273-0598 FAX NUMBER: 650-620-6395

PHONE NUMBER: DATE: JANUARY 5, 2006

RE:

U.S. PATENT APPLICATION NO. 10/612,376, OUR DOCKET NO. 0005,15

ENCLOSED:

STATEMENT UNDER 3.73(B)

TOTAL NO. OF PAGES INCLUDING COVER: 2

☒ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

NOTICE OF CONFIDENTIALITY

This transmission is intended only for the use of the Addressee and may contain information that is:
1. Subject to attorney/client privilege; 2. Attorney work product; or 3. Confidential. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of the

PTO/SB/98 (12-05)

Approved for use through 07/31/2006. QMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Nektar TherapeuticsApplication No./Patent No./Control No.: 10/612,376Filed/Issue Date: July 1, 2003Entitled: METHODS AND COMPOSITIONS FOR THE PULMONARY DELIVERY OF INSULINNektar Therapeuticsa Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: Inhale Therapeutic Systems

The document was recorded in the United States Patent and Trademark Office at
Reel 7554, Frame 0378, or for which a copy thereof is attached.

2. From: Inhale Therapeutic Systems, Inc. Nektar Therapeutics

The document was recorded in the United States Patent and Trademark Office at
Reel 013525, Frame 0753, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael B. Einschlag

Signature

Michael B. Einschlag

Date

650-631-3100

Printed or Typed Name

Vice-President Intellectual Property

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.